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	ТО	EXAMINER PHAM	3-17-04
ATTORNEYS AT LAW		NAME USPTO	571-273-1715
1940 DUKE STREET ALEXANDRIA, VIRGINIA 22314 USA	CC	COMPANY/FIRM	FAX#
		NUMBER OF PAGES INCLUDING COVER: 15	CONFIRM FAX: ☐ YES ☐ NO
(703) 413-3000	FROM	RON RUDDER	214890us
(703) 413-2220 FACSIMILE OBLONPAT@OBLON.COM		NAME 703-412-7033	OUR REFERENCE 09/973,019
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PLEASE FIND ENCLOSED THE DATE STAMPED FILING RECEIPT, THE IDS FILED NOVEMBER 12, 2003 (NOW RE-SIGNED), THE 1449 FORM, AND THE ENGLISH TRANSLATION OF THE CHINESE OFFICE ACTION CITING THE NOTED JAPANESE PATENTS.

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SMM&N File No. 214890US2S

Dept.: E/M

By: EHK/RAR/clh

V Serial No. 09/973,019

In the matter of the Application of: Hiroshi WATANABE, et al.

For: SEMICONDUCTOR DEVICE AND METHOD OF MANUFACTURING THE

SAME

Due Date: 11/12/2003

The following has been received in the U.S. Patent Office on the date stamped hereon:

- ✓ Dep. Acct, Order Form
- Information Disclosure Statement

-**■** PTO-1449

- Cited References (2)
- ➤■ People's Republic of China Office Action (w/ English translation)
- ✓ Credit Card Form for \$180.00



214890US2S Docket No.

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

Hiroshi WATANABE, et al.

SERIAL NO: 09/973,019

GAU:

FILED:

EXAMINER: PHAM, HOAI V.

October 10, 2001

FOR:

SEMICONDUCTOR DEVICE AND METHOD OF MANUFACTURING THE SAME

# INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS ALEXANDRIA, VIRGINIA 22313

Applicant(s) wish to disclose the following information.

#### REFERENCES

- The applicant(s) wish to make of record the references cited in the attached counterpart Chinese Office Action (English translation also attached) and listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

#### RELATED CASES

- Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

#### CERTIFICATION

- Each item of information contained in this information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- □ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

■ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C.

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